

Practitioner's Docket No. 1600/138



PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Cote et al.

Application No.: 09/812,237

Filed: March 19, 2001

For: Apparatus for Reducing Fluid

Drawback Through a Medical Valve

Group No.: 3763

Examiner:

Box Missing Part

Commissioner for Patents

Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed May 11, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

Also enclosed is a substitute specification in compliance with 37 C.F.R. 1.52.

DECLARATION OR OATH

II. The declaration or oath that was filed was determined to be defective. A new original oath or

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

[ x ] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: July 25 2001

FACSIMILE

[ ] transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

  
Signature

Steven G. Saunders  
(type or print name of person certifying)

#4

declaration is attached.

COMPLETION FEES

III.

1. Filing Fee	
Original patent application (37 C.F.R. Section 1.16(a))	\$710.00
2. Fees for Claims	
Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$160.00
Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$342.00
3. Surcharge Fees	
Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$130.00
4. Assignment (See "ASSIGNMENT COVER SHEET")	\$40.00
Total Completion Fees	\$1,382.00

EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(1), for one month.

Fee	\$110.00
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If an additional extension of time is required, please consider this a petition therefor.

07/31/2001 FFAKAEIA 00000030 09012237

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**TOTAL FEE DUE**

V. The total fee due is:

Completion fees	\$1,382.00
Extension fee (if any)	\$110.00
Total Fee Due	\$1,492.00

**PAYMENT OF FEES**

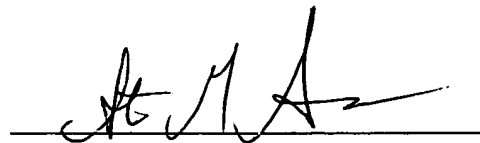
VI. Enclosed is a check in the amount of 1,492.00.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

VII. The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 19-4972.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)  
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)  
37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)  
37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))  
37 C.F.R. Section 1.17 (application processing fees)



Steven G. Saunders  
Bromberg & Sunstein LLP  
125 Summer Street  
Boston, MA 02110  
USA

10/22/07 14:22:18



## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/812,237	03/19/2001	Andrew L. Cote SR.	1600/138

02101  
BROMBERG & SUNSTEIN LLP  
125 SUMMER STREET  
BOSTON, MA 02110-1618

CONFIRMATION NO. 1374  
FORMALITIES LETTER



\*OC000000006067262\*

Date Mailed: 05/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/31/2001 FEAHAEIA 00000038 09812237

01 FEA01	710.00 OP
02 FEA02	160.00 OP
03 FEA03	342.00 OP
04 FEA05	130.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$502.
  - \$342 for 19 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1342.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

07/31/2001 FEAHAEIA 00000038 09812237



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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